

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Moses CHAO et al

Serial No.: 10/021,571

Filing Date: December 19, 2001

For: A TRANSMEMBRANE PROTEIN OF A DOWNSIDE TEAM...

Application Division

ATTN: BOX MISSING PARTS

Washington, D.C.

Confirmation No. 9320

July 15, 2002

LATE SUBMISSION OF FILING FEE AND/OR DECLARATION
FILED UNDER 37 CFR 1.53(b)

HON. COMMISSIONER OF PATENTS

Washington, D.C. 20231

Sir:

The present communication is in response to the "NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION..." dated May 15, 2002.

- ☒ Attached hereto are two executed oaths or declarations in compliance with 37 C.F.R. 1.63, identifying the present application by title and filing date.
- ☐ Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title and filing date.
- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ A Communication.
- ☐ Preliminary Amendment.
- ☐ A certified copy of priority document no. _____, filed _____, is also attached. Priority is claimed.
- ☐ An Information Disclosure Statement with 08A-1449 and _____ references is also attached.

The following fee is calculated below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$ 370.00	OR		\$740.00
TOTAL CLAIMS	34 - 20	14	X 9 =	\$ 126.00		X 18 =	\$
INDEP. CLAIMS	3 - 3		X 42 =	\$00		X 84 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+ 135 =	\$		+ 280 =	\$
If the difference in Col. 1 is less than 0, enter "0" in Col. 2			TOTAL	\$ 496.00		TOTAL	\$

- ☐ Surcharge for late filing of English translation \$ 130.00
- ☒ Late filing of Declaration surcharge in the amount of:
Small Entity ☒ \$65.00 Other than Small Entity ☐ \$130.00
- ☐ It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a).

The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity

Response Filed Within

- ☐ First - \$ 55.00
- ☐ Second - \$ 200.00
- ☐ Third - \$ 460.00
- ☐ Fourth - \$ 720.00

Month After Time Period Set

Other Than Small Entity

Response Filed Within

- ☐ First - \$ 110.00
- ☐ Second - \$ 400.00
- ☐ Third - \$ 920.00
- ☐ Fourth - \$1,440.00

Month After Time Period Set

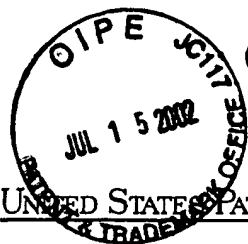
- ☒ Conditional Petition for Extension of Time:
If any extension of time for a response is required, applicant requests that this be considered a petition therefor.
- ☐ Check No. _____ in the amount of \$ _____ is enclosed to cover the above fees.
- ☒ Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$561.00 is enclosed to cover the fees.
- ☒ The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, P.L.L.C.
Attorneys for Applicant(s)

By:

Allen C. Yun

Registration No. 37,971

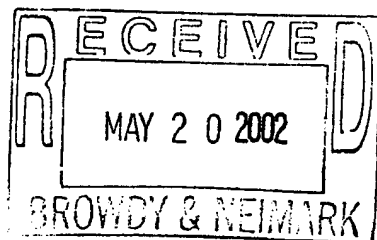


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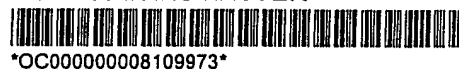
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,571	12/19/2001	Moses V. Chao	CHAO=11A

BROWDY AND NEIMARK, P.L.L.C.
624 Ninth Street, N.W.
Washington, DC 20001



CONFIRMATION NO. 9320

FORMALITIES LETTER



OC00000008109973

Date Mailed: 05/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/18/2002 AOSHAN1 00000033 10021571

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	126.00 OP
03 FC:205	65.00 OP

Filing Date Granted

MSP = 15 JUL 2002
DKT 5:20:02

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$126 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$561** for a Small Entity

- \$370 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$126

- \$126 for 14 total claims over 20.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY